NAS

Disrupting the blood-brain barrier with focused ultrasound: Perspectives on inflammation and regeneration

Joseph Silburt^{a,b,1}, Nir Lipsman^c, and Isabelle Aubert^{a,b,1}

Transcranial focused ultrasound (FUS) is promising for the treatment of neurological disorders, and the brain's response to FUS requires full consideration for a safe translation to the clinic. The study by Kovacs et al. (1) provides insights into FUS-induced inflammatory changes that could be associated on the one hand with brain insult and on the other hand with regenerative processes.

Kovacs et al. (1) confirm that FUS triggers transient astrocytic and microglial activation (2). FUS-induced glial activation and inflammation can be caused by mechanical effects of the sonications and their interactions with microbubbles, as well as by factors entering the brain following permeabilization of the blood-brain barrier (BBB), such as albumin (3). Depending on the type and severity of brain injury, activated astrocytes and microglia, as well as infiltrating macrophages, can exacerbate pathology or promote regeneration through secreting growth factors (4, 5). Previous time-course analysis post-FUS demonstrated that both microglia and astrocyte activation resolved by 15 d after FUS, with no progression to a glial scar (2) and no astrocytic proliferation (6), suggesting that FUS treatment does not cause lesionlike gliosis (4).

Additional FUS effects reported by Kovacs et al. (1) include the induction of pro- and antiinflammatory cytokines, as well as the endothelial intercellular adhesion molecule 1 (ICAM1). A recent analysis of the vasculature transcriptome post-FUS supports limited inflammation, which is resolved by 24 h (7). In contrast to Kovacs et al. (1), endothelial ICAM1 activation was not observed (7). This difference could be due to the relatively high concentration (~5- to 10-fold the recommended dose) of microbubbles used by Kovacs et al. (1), which can lead to greater endothelial disruption.

Consistent with other studies, in Kovacs et al. (1) FUS did not induce cell death. FUS treatment did,

however, up-regulate erythropoietin (Epo), suggestive of ischemic mechanisms. However, hypoxia-inducible factor $1-\alpha$, an upstream transcriptional activator of Epo expression and sensor of ischemia, was not up-regulated. Epo could alternatively be up-regulated in response to hyperoxia (8), which can increase reactive oxygen species and DNA breaks, a phenotype reported in the Kovacs et al. (1) study.

Kovacs et al. (1) also demonstrate that FUS upregulates proregenerative growth factors. In support of a permissive environment, FUS-induced BBB disruption can promote neurogenesis (6, 9) and increase dendritic branching and complexity (9). In mouse models of Alzheimer's disease, FUS-activated microglia and astrocytes contained greater levels of amyloid, potentially contributing to its clearance (2, 3). Finally, repeated FUS-treatments had positive impact on cognition in mice (3, 9), were safe in nonhuman primates (10), and are currently in clinical trials for Alzheimer's disease (https://clinicaltrials.gov/ ct2/show/NCT02986932?term=focused+ultrasound+ alzheimer&rank=1 and https://clinicaltrials.gov/ct2/ show/NCT03119961?term=focused+ultrasound+ alzheimer&rank=2).

To conclude, the work by Kovacs et al. (1) supports existing data that FUS does not lead to overt brain damage, and brings new insights to acute post-FUS effects. Aside from the impact of FUS itself on the brain, FUS allows for intravenous therapeutics to enter the brain in areas of interest, enhancing the potential of treating neurodegenerative disorders. With advances in FUS technology, including the feedback controller (6, 7, 9), FUS procedures are improving in safety, flexibility, reproducibility, and efficacy. A thorough understanding of FUS-effects on brain and behavior, along with continuous optimization of FUS treatments, are required for a successful translation to the clinic.



^aHurvitz Brain Sciences Research Program, Biological Sciences, Sunnybrook Research Institute, Toronto, ON, Canada M4N 3M5; ^bDepartment of Laboratory Medicine and Pathobiology, University of Toronto, Toronto, ON, Canada M5S 1A1; and ^cDivision of Neurosurgery, Sunnybrook Research Institute, Sunnybrook Health Sciences Center, University of Toronto, Toronto, ON, Canada M4N 3M5

Author contributions: J.S., N.L., and I.A. wrote the paper.

ww.pnas.org/cgi/doi/10.1073/pnas.1710761114

Conflict of interest statement: N.L. has received an honorarium from the Focused Ultrasound Foundation for serving as Chair of an expert steering committee on Alzheimer's Disease.

¹To whom correspondence may be addressed. Email: joey.silburt@mail.utoronto.ca or isabelle.aubert@sri.utoronto.ca.

- 1 Kovacs ZI, et al. (2017) Disrupting the blood-brain barrier by focused ultrasound induces sterile inflammation. Proc Natl Acad Sci USA 114:E75-E84.
- 2 Jordão JF, et al. (2013) Amyloid-β plaque reduction, endogenous antibody delivery and glial activation by brain-targeted, transcranial focused ultrasound. Exp Neurol 248:16–29.
- 3 Leinenga G, Götz J (2015) Scanning ultrasound removes amyloid-β and restores memory in an Alzheimer's disease mouse model. Sci Transl Med 7:278ra33.
- 4 Pekny M, Pekna M (2014) Astrocyte reactivity and reactive astrogliosis: Costs and benefits. *Physiol Rev* 94:1077–1098.
- 5 Hu X, et al. (2015) Microglial and macrophage polarization—New prospects for brain repair. Nat Rev Neurol 11:56–64.
- 6 Scarcelli T, et al. (2014) Stimulation of hippocampal neurogenesis by transcranial focused ultrasound and microbubbles in adult mice. *Brain Stimulat* 7:304–307.
 7 McMahon D, Bendayan R, Hynynen K (2017) Acute effects of focused ultrasound-induced increases in blood-brain barrier permeability on rat microvascular transcriptome. *Sci Rep* 7:45657.
- 8 Sifringer M, et al. (2010) Erythropoietin attenuates hyperoxia-induced oxidative stress in the developing rat brain. Brain Behav Immun 24:792–799.
- 9 Burgess A, et al. (2014) Alzheimer disease in a mouse model: MR imaging-guided focused ultrasound targeted to the hippocampus opens the blood-brain barrier and improves pathologic abnormalities and behavior. *Radiology* 273:736–745.
- 10 McDannold N, Arvanitis CD, Vykhodtseva N, Livingstone MS (2012) Temporary disruption of the blood-brain barrier by use of ultrasound and microbubbles: Safety and efficacy evaluation in rhesus macaques. *Cancer Res* 72:3652–3663.

Jowr

SANG SANG

